CA-DHS 834 Transaction and Supplemental File Generation Data Scenarios

This document defines the output file(s) that can be expected when using the FAME file as input. For every recipient record found on the FAME file there will be a matching recipient record on the Supplemental file. For certain HCP Status codes on the FAME file, there may also be a supporting subscriber record on the 834 Transaction file. The 834 Transaction is used to report only active subscriber enrollments and dis-enrollments.

If a subscriber record appears on the 834 Transaction file the matching recipient record on the Supplemental file will contain spaces in the current month's data fields.

For Non-COHS Health Plans the HCP-STATUS-01 field is used and for Dental Plans the HCP-STATUS-02 field is used if present. Based on the FAME file HCP-STATUS these are the different outputs that may be generated:

Recipient is a new enrollment - FAME file HCP-STATUS =
'01' (active enrollment)
'51' (enrollment activated from hold status)
'S1' (active enrollment)

834 Transaction File – create subscriber record
INS03 = 021 (addition of new subscriber)
INS04 = 28 (initial enrollment)

Supplemental File – create recipient record
Replicates FAME record with modifications

Recipient is dis-enrolled - FAME file HCP-STATUS =
'00' (voluntary dis-enrollment)
'10' (voluntary dis-enrollment)
'09' (mandatory dis-enrollment)
'19' (mandatory dis-enrollment)
'40' (voluntary dis-enrollment)
'49' (mandatory dis-enrollment)
'S0' (voluntary dis-enrollment)
'S9' (mandatory dis-enrollment)

834 Transaction File – create subscriber record
INS03 = 024 (termination of existing subscriber)
INS07 = 07 (termination of benefits)

Supplemental File – create recipient record

Replicates FAME record with modifications

Recipient is in pending status - FAME file HCP-STATUS =
' ' (dis-enrollment in prior month)
'05' (enrollment held)
'55' (enrollment held)
'59' (enrollment held)
'P4' (enrollment application accepted)

834 Transaction File – no subscriber record created

Supplemental File – create recipient record
Replicates FAME record with no modifications

These scenarios are represented in the table below.

FAME Input (HCP Status)	834	Supplemental
Blank – dis-enrollment occurred in prior month – no capitation paid	Not reported	All FAME fields reported
00 – voluntary dis-enrollment – no capitation paid	Build a subscriber record with: INS03 = 024 INS04 = 07	All FAME fields reported except those mapped in the 834 transaction. 834 mapped fields will be reported as spaces. FAME fields that are mapped to 834 and have their value changed are reported with FAME values.
01 – active enrollment – capitation paid	Build a subscriber record with: INS03 = 021 INS04 = 28	All FAME fields reported except those mapped in the 834 transaction. 834 mapped fields will be reported as spaces. FAME fields that are mapped to 834 and have their value changed are reported with FAME values.
05 – enrollment held due to recipient's Medi-Cal eligibility status – no capitation paid	Not reported	All FAME fields reported
09 – mandatory dis-enrollment – no capitation paid	Build a subscriber record with: INS03 = 024 INS04 = 07	All FAME fields reported except those mapped in the 834 transaction. 834 mapped fields will be reported as spaces. FAME fields that are mapped to 834 and have their value changed are reported with FAME values.
10 – voluntary dis-enrollment after capitation paid – recovery required	Build a subscriber record with:	All FAME fields reported except those mapped in the 834

FAME Input (HCP Status)	834	Supplemental
	INS03 = 024 INS04 = 07	transaction. 834 mapped fields will be reported as spaces. FAME fields that are mapped to 834 and have their value changed are reported with FAME values.
19 – mandatory dis-enrollment after capitation paid – recovery required	Build a subscriber record with: INS03 = 024 INS04 = 07	All FAME fields reported except those mapped in the 834 transaction. 834 mapped fields will be reported as spaces. FAME fields that are mapped to 834 and have their value changed are reported with FAME values.
40 – voluntary dis-enrollment occurred before enrollment became effective – no capitation paid	Build a subscriber record with: INS03 = 024 INS04 = 07	All FAME fields reported except those mapped in the 834 transaction. 834 mapped fields will be reported as spaces. FAME fields that are mapped to 834 and have their value changed are reported with FAME values.
49 – mandatory dis-enrollment occurred before enrollment became effective	Build a subscriber record with: INS03 = 024 INS04 = 07	All FAME fields reported except those mapped in the 834 transaction. 834 mapped fields will be reported as spaces. FAME fields that are mapped to 834 and have their value changed are reported with FAME values.
51 – enrollment activated from hold status – supplemental capitation to be paid at the end of the current month	Build a subscriber record with: INS03 = 021 INS04 = 28	All FAME fields reported except those mapped in the 834 transaction. 834 mapped fields will be reported as spaces. FAME fields that are mapped to 834 and have their value changed are reported with FAME values.
55 – enrollment held – potential HCP enrollee with uncertified SOC	Not reported	All FAME fields reported
59 – enrollment held due to change of recipient's status other than hold on Medi-Cal eligibility; no capitation paid	Not reported	All FAME fields reported
P4 – enrollment application accepted – no capitation paid	Not reported	All FAME fields reported

FAME Input (HCP Status)	834	Supplemental
S0 – voluntary dis-enrollment after capitation paid – recovery processed	Build a subscriber record with: INS03 = 024 INS04 = 07	All FAME fields reported except those mapped in the 834 transaction. 834 mapped fields will be reported as spaces. FAME fields that are mapped to 834 and have their value changed are reported with FAME values.
S1 – active enrollment – supplemental capitation paid for individual release from hold status	Build a subscriber record with: INS03 = 021 INS04 = 28	All FAME fields reported except those mapped in the 834 transaction. 834 mapped fields will be reported as spaces. FAME fields that are mapped to 834 and have their value changed are reported with FAME values.
S9 – mandatory dis-enrollment after capitation paid	Build a subscriber record with: INS03 = 024 INS04 = 07	All FAME fields reported except those mapped in the 834 transaction. 834 mapped fields will be reported as spaces. FAME fields that are mapped to 834 and have their value changed are reported with FAME values.

Within the FAME file the HCP STATUS may represent a progression or succession of status codes. Some rules governing the HCP status are:

A separate HCP status will be displayed for each HCP code. The second through fifth HCPs are for non-medical coverage.

A 'blank' HCP status occurs after the month in which a dis-enrollment has become effective. A 'blank' HCP status code should always be preceded by a HCP status code of '00', '09', 'S9', 'S0', '40', '49'.

HCP-STATUS codes '05' and '55' are updated to '51' when Medi-Cal eligibility is reinstated or SOC has been certified. The '05' status may not appear in a history month on the HCP FAME file.

HCP-STATUS '51' is updated to 'S1' when the monthly renewal process initiates payment of the capitation. HCP-STATUS '19' is updated to 'S9' and HCP-STATUS '10' is updated to 'S0' after the MEDS monthly renewal process initiates the recovery process.

After two consecutive months of a HCP hold status of '05', '55' or '59', MEDS renewal terminates the MCP enrollment effective the following month resulting in HCP-STATUS '09'.

File Re-association

If an HCP chooses, the Supplemental File may be reconstructed using data from the 834 Transaction File. The reconstructed Supplemental File would then emulate the FAME file in both format and content. The re-association is accomplished based on CLIENT-INDEX-NUMBER found in both the 834 Transaction record and in the Supplemental File and where the MEDS-ID is equal to spaces in the supplemental file.